

Internal Audit Work - Period 1st April 2022 - 31st March 2023			
Current Status and Results of Audit Review			
Directorate	Area of Review	Status	Audit Assurance Rating
Corporate	'Tell us once' process	Complete	Substantial
Corporate	Whistleblowing Arrangements	Complete	Substantial
Corporate	Partnerships - Tracking of Outcomes	Complete	Reasonable
Resources	Continuous Audit and Data Analytics - Procurement Card Spend	Complete	Reasonable
G&R	Affordable Housing Delivery (Homes England Compliance)	Complete	Reasonable
People	Domiciliary Care	Complete	Reasonable
People	Public health	Complete	Reasonable
People	Integrated Care Management System	Complete	Reasonable
Corporate	Annual Review of Risk Management	Complete	Reasonable
G&R	Homelessness/Temporary Accommodation - Decision Making Processes	Complete	Reasonable
People	Placement sufficiency for Children in Care	Complete	Reasonable
Corporate	Financial Management Code - 2021/22 Self Assessment Verification	Complete	Reasonable
G&R	Review of Reasons for Capital Spend in Q4	Complete	Reasonable
Corporate	Ethical Use of Data	Complete	Reasonable
G&R	Housing Rent Collection	Complete	Reasonable
Resources	Progress in implementation of Proactis contract management system	Complete	Reasonable
Resources	Social Value	Complete	Reasonable
Resources	Employee & recruitment fraud - controls review	Complete	Reasonable
Resources	GDPR Compliance	Complete	Reasonable
Corporate	Scheme of Delegation	Complete	Limited
Resources	Cloud Resilience	Complete	Limited
Corporate	Savings Delivery	Complete	Limited
People	Adult Social Care Budget Management	Complete	Limited
Resources	Corporate Landlord - Disposal of Assets	Complete	Limited
Resources	Core Systems Access Controls	Complete	Limited
Resources	IT Resilience - follow up	Complete	N/A
Resources	Cyber Security - follow up	Complete	N/A
Resources	IT Root Cause	Complete	N/A
Corporate	Annual Governance Statement 2021/22	Complete	N/A
Corporate	Annual Governance Statement 2022/23	Complete	N/A
Resources	Digital Transformation Programme - Embedded Assurance	Complete	N/A
G&R	Housing IT Transformation Programme - Embedded Assurance	Complete	N/A
People	Adult Social Care Transformation - Embedded Assurance	Complete	N/A
Resources	Group Company Governance - Embedded Assurance	Complete	N/A
Resources	Information Governance Board - Embedded Assurance	Complete	N/A
People	Schools SFVS	Complete	N/A
People	Schools Assurance Programme	Complete	Various
Corporate	Procurement Compliance	Draft Report	
Corporate	H&S	Draft Report	
Resources	NDR Collection	Draft Report	
G&R	Flood Risk Management	Draft Report	
Resources	Debt Management	Draft Report	
Resources	Agency Staff	Draft Report	
G&R	Client Team re Companies	Draft Report	
G&R	Climate Change	Draft Report	
Corporate	Performance Management	In Progress	
Corporate	Supply Chain and Third Party Risk	In Progress	
People	Transition from Children in Care to Adults	In Progress	
People	Domestic Violence changed to Food Equality Strategy	In Progress	

Grants and Other Certifications			
Directorate	Name of Review	Status	Value
Adults and Communities	Adult Weight Management Services Grant	Complete	189,982
Adults and Communities	Local Authority Targeted Community Testing Programme 2021/22: No 31/5956	Complete	7,389
Adults and Communities	Local Authority Targeted Community Testing Programme 2021/22: No 31/5958	Complete	78,817
Adults and Communities	Local Authority Targeted Community Testing Programme 2021/22: No 31/5957	Complete	78,817
Adults and Communities	Local Authority Targeted Community Testing Programme 2021/22: No 31/5959	Complete	109,392
Adults and Communities	Local Authority Targeted Community Testing Programme 2022/23: No 31/6101	Complete	100,192
Adults and Communities	Local Authority Targeted Community Testing Programme 2022/23: No 31/6102	Complete	118,392
Adults and Communities	Local Authority Targeted Community Testing Programme 2022/23: No 31/6103	Complete	41,125
Adults and Communities	Protect & Vaccinate Grant	Complete	480,985
Adults and Communities	Local Authority Practical Support Payment Scheme Funding Grant	Complete	763,500
Adults & Communities	Contain Outbreak Management Fund grant	Complete	18,160,267
Chief Executive	Test and Trace Support Payment Grant	Complete	4,273,792
Children & Education	WECA Data Resourcing Project 2022/23	Complete	30,000
Children & Education	Careers and Enterprise Company (CEC) Employer Engagement Enterprise Project Grant	Complete	48,570
Children & Education	Reboot West	Complete	106,176
Children & Education	Future Bright Programme Grant	Complete	503,878
Children & Education	Youth Justice Grant	Complete	661,417
Children & Education	We Work For Everyone	Complete	896,280
Growth & Regeneration	Digging for Delft Grant	Complete	42,451
Growth & Regeneration	High Street Renewal Catalyst Fund	Complete	96,365
Growth & Regeneration	Western Harbour Place Shaping Vision Feasibility and Development Funding Application Form Grant	Complete	155,000
Growth & Regeneration	Feasibility Study: Bristol City Council - Bedminster High Street Improvement	Complete	167,828
Growth & Regeneration	Lead Enforcement Authority Grant 2021/22	Complete	194,830
Growth & Regeneration	Bristol City Centre and High Streets Recovery and Renewal Grant	Complete	219,627
Growth & Regeneration	City Centre Development & Delivery Plan and Frome Gateway 2022/23	Complete	235,000
Growth & Regeneration	Capability Fund 2021/22	Complete	336,165
Growth & Regeneration	Scambuster and NTS Funding for Regional Intelligence Functions Grants	Complete	382,260
Growth & Regeneration	NTS Sub-Grant for NTS Funding for Regional Intelligence Functions SWERCOTS 2021/22	Complete	89,221
Growth & Regeneration	Office for Low Emission Vehicles (OLEV) Round 2 ULEV Taxi Infrastructure Competition	Complete	434,674
Growth & Regeneration	South Bristol Enterprise Support	Complete	532,253
Growth & Regeneration	Temple Island Grant 20-22	Complete	2,043,963
Growth & Regeneration	Hawk field Business Park - The Bottle Yard Studios	Complete	2,706,350
Growth & Regeneration	Disabilities Facilities Grant (DFG 2021/22)	Complete	3,528,349
Growth & Regeneration	WECA Highways Capital Maintenance	Complete	2,626,475
Growth & Regeneration	WECA Highways Incentive	Complete	656,712
Growth & Regeneration	Integrated Transport	Complete	1,940,419
Growth & Regeneration	Pothole Action Fund Grant 2021/22	Complete	1,889,953
Growth & Regeneration	Mini Holland Feasibility Study Grant	Complete	78,947
Growth & Regeneration	A4018 Corridor Improvements Grant	Complete	83,268
Growth & Regeneration	Bristol Avon Flood Strategy- Active Travel & Green Infrastructure Greenway Grant	Complete	141,025
Growth & Regeneration	Green Homes Grant	Complete	3,368,109
Total			48,598,215